

# ERISA Alert from Hartford Bond

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To learn more, contact  
The Hartford Bond Center at  
888-656-0817 or online at  
[www.1SourceBondKit.com](http://www.1SourceBondKit.com)

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## *Pension Protection Act of 2006 makes changes to ERISA Bonding Requirement*

### **Increase in the Maximum Amount of the Required Bond**

Effective for plan years beginning after December 31, 2007, there is an increase in the maximum amount of the required bond from \$500,000 to \$1,000,000 for any ERISA plans that hold “employer securities”. ERISA defines employer securities as “a security issued by an employer of employees covered by the plan, or by an affiliate of such employer.”

### **Timely Service and Quick Turnaround**

In order to accommodate this change and offer timely service and quick turnaround, **The Hartford Bond Center is ready to service your ERISA Bond needs up to \$1 million.** (See reverse side for submission form)

*Please refer to the bond to determine all terms and conditions of coverage. Coverage is provided by the member companies of Hartford Financial Service Group, Inc. and may not be available in all states. Some of The Hartford companies are US Treasury listed. Member companies of The Hartford consistently earn the highest ratings for financial strength and claims paying ability.*

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# ERISA Bond Information

The form below may be submitted to apply for an ERISA Bond. Please mail or fax completed form to:

The Hartford Bond Center  
P.O. Box 958461  
Heathrow, FL 32746

P: 888-656-0817  
F: 877-257-2166

Agency: \_\_\_\_\_ Agency Code: \_\_\_\_\_

Plan Name: \_\_\_\_\_

Plan Sponsor\*: \_\_\_\_\_

Nature of Sponsor Business: \_\_\_\_\_

Address: \_\_\_\_\_

Plan Assets: \$ \_\_\_\_\_ Bond Amount: \$ \_\_\_\_\_

Non-Qualified Assets: Type: \_\_\_\_\_ Value: \$ \_\_\_\_\_

Type: \_\_\_\_\_ Value: \$ \_\_\_\_\_

Are any of the Plan assets

Employer Securities?:  Yes  No If yes, Value: \$ \_\_\_\_\_

Number of Trustees: \_\_\_\_\_ Number of Participants \_\_\_\_\_

Effective Date: \_\_\_\_\_ Policy Term:  Annual  3 Yr. Prepaid

Billing Options:  Agency Bill  Direct Bill

Prior Carrier: \_\_\_\_\_

Loss(es) Incurred: (Past 3 yrs.)  None \$ \_\_\_\_\_

\* The Plan Sponsor is the organization or business that created and maintains the plan providing retirement or other benefits for its employees. For example, the Plan Sponsor of the ABC Company 401(k) Plan is the ABC Company. In some cases, however, the Plan Sponsor's name may be different than the Plan Name. Coverage may only be bound by issuance of a Bond. The Hartford ERISA Bond provides coverage for certain defined individuals. Please refer to the bond to determine all terms and conditions of coverage.

